# I. OVERVIEW OF THE FUNDING OPPORTUNITY

**Program Announcement for the Department of Defense** 

#### **Defense Health Program**

#### **Congressionally Directed Medical Research Programs**

## **Autism Research Program**

## **Discovery Award**

**Announcement Type: Initial** 

#### Funding Opportunity Number: HT942524ARPDA

#### Assistance Listing Number: 12.420 Military Medical Research and Development

#### SUBMISSION AND REVIEW DATES AND TIMES

- **Pre-Application Letter of Intent Submission Deadline:** 5:00 p.m. Eastern time (ET), July 24, 2024
- Application Submission Deadline: 11:59 p.m. ET, August 15, 2024
- End of Application Verification Period: 5:00 p.m. ET, August 19, 2024
- Peer Review: October 2024
- Programmatic Review: December 2024

This program announcement must be read in conjunction with the General Application Instructions, version 901a. The General Application Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

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# **II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY**

# **II.A.** Program Description

The U.S. Army Medical Research Acquisition Activity (USAMRAA) is soliciting applications to the fiscal year 2024 (FY24) Autism Research Program (ARP) using delegated authority provided by United States Code, Title 10, Section 4001 (10 USC 4001). The Congressionally Directed Medical Research Programs (CDMRP) at the U.S. Army Medical Research and Development Command (USAMRDC) is the program management agent for this funding opportunity. Congress initiated the ARP in 2007 to provide support for research of high potential impact and exceptional scientific merit. Appropriations for the ARP from FY07 through FY23 totaled \$149.4 million (M). The FY24 appropriation is \$15M.

The ARP's vision is to improve the lives of individuals with Autism Spectrum Disorders (ASD) now and in their future, and its mission is to promote innovative research that advances the understanding of ASD and leads to improved outcomes.

# The proposed research must be relevant to active-duty Service Members, Veterans, military beneficiaries, and/or the American public.

#### **II.A.** Award History

The ARP Discovery Award mechanism was first offered in FY23. Since then, 34 Discovery Award applications have been received, and 1 has been recommended for funding.

# **II.B.** Award Information

The FY24 ARP Discovery Award supports *innovative, non-incremental, high-risk/potentially high-reward research* that will provide new insights, paradigms, technologies, or applications in autism research. Studies supported by this award are expected to lay the groundwork for future avenues of scientific investigation regarding an important question for autism research and/or the ASD community. *The proposed research project should include a well-formulated, testable hypothesis* based on a sound scientific rationale and logical reasoning. *Preliminary data are not required but is allowed.* The outcome of research supported by this award should be the generation of robust preliminary data that can be used as a foundation for future research projects and applications for funding.

The FY24 ARP Discovery Award seeks applications from all areas of research that will help fulfill the program's vision to improve the lives of individuals with ASD now and in their future, as well its mission is to promote innovative research that advances the understanding of ASD and leads to improved outcomes.

# Research funded by the FY24 ARP should be responsive to the needs of people with ASD, their families, and/or caregivers. Researchers are therefore encouraged to establish and

# utilize effective collaborations and partnerships with community members to maximize the translational and impact potential of the proposed research.

CDMRP encourages research on health areas and conditions that affect women uniquely, disproportionately, or differently from men, including studies analyzing sex as a biological variable. Such research should relate anticipated project findings to improvements in women's health outcomes and/or advancing knowledge for women's health.

*Innovation is a key characteristic of this funding opportunity.* Innovative research may introduce a new paradigm, look at existing problems from new perspectives, or exhibit other highly creative qualities. Research that represents an incremental advancement on previously published work or investigating the next logical step or continuation of a previous research project is not considered innovative and does not meet the intent of the Discovery Award.

**Rigor of Experimental Design:** All projects should adhere to a core set of standards for rigorous study design and reporting to maximize the reproducibility and translational potential of clinical and preclinical research. The standards are described in SC Landis et al., 2012, A call for transparent reporting to optimize the predictive value of preclinical research, Nature 490:187-191 (https://www.nature.com/articles/nature11556). While these standards are written for preclinical studies, the basic principles of randomization, blinding, sample-size estimation, and data handling derive from well-established best practices in clinical studies.

#### Clinical trials are not allowed, but other clinical research is allowed.

*A clinical trial is defined* in the Code of Federal Regulations, Title 45, Part 46.102 (45 CFR 46.102) as a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include a placebo or another control) to evaluate the effects of the interventions on biomedical or behavioral health-related outcomes.

# Studies that do not seek to measure safety, effectiveness, and/or efficacy outcome(s) of an intervention are not considered clinical trials.

For the purposes of this funding opportunity, research that meets the definition of a clinical trial is distinct from clinical research. Clinical research encompasses research with human data, human specimens, and/or interaction with human subjects. Clinical research is observational in nature and includes:

(1) Research conducted with human subjects and/or material of human origin such as data, specimens, and cognitive phenomena for which an investigator (or co-investigator) does *not* seek to assess the safety, effectiveness, and/or efficacy outcomes of an intervention. Research meeting this definition may include but is not limited to: (a) mechanisms of human disease,
(b) diagnostic or detection studies (e.g., biomarker or imaging), (c) health disparity studies, and
(d) development of new technologies.

(2) Epidemiologic and behavioral studies that do *not* seek to assess the safety, effectiveness, and/or efficacy outcomes of an intervention.

(3) Outcomes research and health services research that do not fit under the definition of clinical trial.

Excluded from the definition of clinical research are in vitro studies that utilize human data or specimens that cannot be linked to a living individual and meet the requirements for exemption under  $\frac{46.104(d)(4)}{1000}$  of the Common Rule.

The funding instrument for awards made under the program announcement will be grants (31 USC 6304).

The anticipated direct costs budgeted for the entire period of performance for an FY24 ARP Discovery Award should not exceed **\$200,000**. Refer to <u>Section II.D.5, Funding Restrictions</u>, for detailed funding information.

Awards supported with FY24 funds will be made no later than September 30, 2025.

The CDMRP expects to allot approximately \$0.64M to fund approximately two Discovery Award applications. Funding of applications received is contingent upon the availability of federal funds for this program, the number of applications received, the quality and merit of the applications as evaluated by peer and programmatic review, and the requirements of the government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY24 funding opportunity will be funded with FY24 funds, which will expire for use on September 30, 2030.

# **II.C. Eligibility Information**

#### **II.C.1. Eligible Applicants**

**II.C.1.a. Organization:** Extramural and Intramural organizations are eligible to apply, including foreign or domestic organizations, for-profit and non-profit organizations, and public entities.

**Extramural Organization:** An eligible non-Department of Defense (DOD) organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, federal government organizations other than the DOD (i.e., intragovernmental organizations), and research institutes.

**Intramural DOD Organization:** Refers specifically to DOD organizations including DOD laboratories, DOD military treatment facilities, and/or DOD activities embedded within a civilian medical center.

Awards are made to eligible *organizations*, not to individuals. Refer to the General Application Instructions, Appendix 1, for additional recipient qualification requirements.

#### II.C.1.b. Principal Investigator

Investigators at the level of postdoctoral fellow or clinical fellow (or equivalent) and above may be named by the organization as the Principal Investigator (PI) on the application.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by or affiliated with an eligible organization.

#### **II.C.2.** Cost Sharing

Cost sharing/matching is not an eligibility requirement.

#### II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or full application does not meet the administrative, eligibility, or ethical requirements defined in this program announcement.

# **II.D.** Application and Submission Information

#### **II.D.1.** Location of Application Package

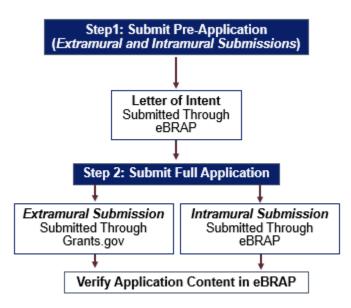
Submission is a two-step process requiring both a *pre-application* submitted via the Electronic Biomedical Research Application Portal (eBRAP.org) and a *full application* (eBRAP.org or Grants.gov). Depending on the type of submission (i.e., extramural vs. intramural), certain aspects of the submission process will differ.

The CDMRP uses two portal systems to accept pre- and full application submissions.

**eBRAP** (<u>https://ebrap.org</u>) is a secure web-based system that allows PIs and/or organizational representatives from both extra- and intramural organizations to receive communications from the CDMRP and submit their pre-applications. Additionally, eBRAP allows extramural applicants to view and verify full applications submitted to Grants.gov and allows intramural DOD applicants to submit and verify full applications following their pre-application submission.

**Grants.gov** (<u>https://grants.gov</u>) is a federal system that must be used by funding agencies to announce extramural grant applications. Full applications for CDMRP funding opportunities can only be submitted to Grants.gov after submission of a pre-application through eBRAP.

#### Application Submission Workflow



**Extramural Submission:** An application submitted by an <u>extramural organization</u> for an extramural or intramural PI working within an extramural or intramural organization. For example, a research foundation submitting an application for a DOD employee working within a DOD organization would be considered an extramural submission and should follow instructions specific to extramural submissions.) Download application package components for HT942524ARPDA from Grants.gov (<u>https://grants.gov</u>). Full applications from extramural organizations *must* be submitted through Grants.gov.

**Intramural Submission:** An application submitted by an <u>intramural DOD organization</u> for an investigator employed by that organization. Intramural DOD organizations <u>may</u> submit full applications to either eBRAP or Grants.gov. Download application package components for HT942524ARPDA from the anticipated submission portal eBRAP (<u>https://ebrap.org</u>) or Grants.gov.

The submission process should be started early to avoid missing deadlines. Regardless of submission type or portal used, all pre- and full application components must be submitted by the deadlines stipulated on the first page of this program announcement. There are no grace periods for deadlines; failure to meet submission deadlines will result in application rejection. *The* **USAMRAA cannot make allowances/exceptions for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.** 

#### II.D.2. Content and Form of the Application Submission

Submitting applications that propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

Unnecessary duplication of funding, or accepting funding from more than one source for the same research, is prohibited. See CDMRP's full position on research duplication at <a href="https://cdmrp.health.mil/funding/researchDup">https://cdmrp.health.mil/funding/researchDup</a>.

Including classified research data within the application and/or proposing research that may produce classified outcomes, or outcomes deemed sensitive to national security concerns, may result in application withdrawal. Refer to the General Application Instructions, Appendix 7, Section B.

FY24 ARP Programmatic Panel members should not be involved in any pre-application or full application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c</u>, <u>Withdrawal</u>, or contact the eBRAP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

#### II.D.2.a. Step 1: Pre-Application Submission

Regardless of submission type (i.e., extramural or intramural), all pre-application components must be submitted by the PI through eBRAP.

During the pre-application process, eBRAP assigns each submission a unique log number. This unique log number is required during the full application submission process. The eBRAP log number, application title, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

When starting the pre-application, applicants will be asked to select a "Mechanism Option". Please be sure to select the correct option appropriate to your pre-application:

#### **II.D.2.a.i.** Pre-Application Components

Pre-application submissions must include the following components (refer to the General Application Instructions, Section III.B, for detailed instructions regarding pre-application submission):

• Letter of Intent (LOI) (one-page limit): Provide a brief description of the research to be conducted.

LOIs are used for program planning purposes only (e.g., reviewer recruitment) and will not be reviewed during either the peer or programmatic review. *An invitation to submit a full application is NOT provided after LOI submission. Applicants are encouraged to develop pre-application and full application components concurrently and submit a full application AFTER successful submission of the pre-application.* 

#### II.D.2.b. Step 2: Full Application Submission

#### II.D.2.b.i. Full Application Submission Type

**Extramural Submissions:** Full applications from extramural organizations *must* be submitted through Grants.gov Workspace. Full applications from extramural organizations, including non-DOD federal organizations, received through eBRAP will be withdrawn. Refer to the General Application Instructions, Section IV, for considerations and detailed instructions regarding extramural full application submission.

**Intramural Submissions:** Intramural DOD organizations may submit full applications through either eBRAP or Grants.gov. There is no preference from the CMDRP for which submission portal is utilized; submission through one portal or the other does not provide the application any advantage during the review process. Intramural DOD organizations that choose to submit through Grants.gov should follow Extramural Submission instructions. Intramural DOD organizations that are unable to submit through Grants.gov should submit through eBRAP. For the remainder of this program announcement, it will be assumed intramural DOD submissions will proceed through eBRAP. Refer to the General Application Instructions, Section V, for considerations and detailed instructions regarding intramural DOD full application submission.

#### II.D.2.b.ii. Full Application Submission Components

Each application submission must include the completed full application package for this program announcement. See <u>Section II.H.3</u> of this program announcement for a checklist of the required application components.

(a) SF424 Research & Related Application for Federal Assistance Form *(Extramural Submissions Only)*: Refer to the General Application Instructions, Section IV.B, for detailed information.

#### (b) Attachments:

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 2.

#### • Attachment 1: Project Narrative (four-page limit): Upload as

**"ProjectNarrative.pdf".** The page limit of the Project Narrative applies to text and nontext elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs (uniform resource locators) that provide additional information that expands the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below. *Inclusion of preliminary data is not required.* 

- Hypothesis or Objective: State the hypothesis to be tested or the objective to be reached regarding an important question for autism research and/or ASD community. Explain how this study will help fulfill the ARP's vision and mission.
- **Rationale:** State concisely the rationale for the proposed research.
- **Specific Aims:** State the specific aims of the study.
- Research Strategy and Feasibility: Describe the experimental design, methods, and analyses, including appropriate controls, in sufficient detail for evaluation. If preliminary data are presented, describe how it supports the hypothesis or objectives to be tested. Articulate how the proposed research will have the potential to generate robust preliminary data that can be used as a foundation for future research projects. Describe the human subject population to be studied and how access will be gained to the designated population, if applicable. Address potential problem areas and pitfalls and present alternative methods and approaches. If applicable, describe the statistical plan with appropriate power analysis and how it supports the experimental methodology. Research projects may include preclinical studies in animal models, or clinical research involving human subjects and human anatomical substances. If human subjects or human biological samples will be used, include a plan for the recruitment of subjects or the acquisition of samples, and document the experience of the PI and/or key collaborators in recruiting human subjects for similar projects. This award may not be used to fund or conduct clinical trials. If animal studies are proposed, describe how they will be conducted in accordance with the ARRIVE guidelines 2.0 (https://arriveguidelines.org/arrive-guidelines) to achieve reproducible and rigorous results, including the choice of model and the endpoints/outcomes to be measured.
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf". Start each document on a new page. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

**References Cited:** List the references cited (including URLs, if available) in the Project Narrative using a standard reference format.

- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- **Facilities, Existing Equipment, and Other Resources:** Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate

whether government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present government award under which the facilities or equipment items are now accountable. There is no form for this information.

- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the program announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual and/or organization demonstrating that the PI has the support and resources necessary for the proposed work. If an investigator at an intramural DOD organization is named as a collaborator on a full application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural DOD organization authorizing the collaborator's involvement.
- Intellectual Property: Information can be found in the 2 CFR 200.315, "Intangible Property."
  - Intellectual and Material Property Plan *(if applicable)*: Provide a plan for resolving intellectual and material property issues among participating organizations.
  - Commercialization Strategy *(if applicable)*: Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.
- Data and Research Resources Sharing Plan: Describe the type of data or research resource to be made publicly available as a result of the proposed work. Describe how data and resources generated during the performance of the project will be shared with the research community. Include the name of the repository(ies) where scientific data and resources arising from the project will be archived, if applicable. If a public repository will not be used for data or resource sharing, provide justification. Provide a milestone plan for data/results dissemination including when data and resources will be made available to other users, including dissemination activities with a particular focus on feeding back the data to affected communities

and/or research participants. Refer to CDMRP's Policy on Data & Resource Sharing located on the eBRAP "Funding Opportunities & Forms" web page <u>https://ebrap.org/eBRAP/public/Program.htm</u> for more information about CDMRP's expectations for making data and research resources publicly available.

- DOD Data Management Plan (two-page limit is recommended): Describe the data management plan in accordance with Section 3.c, Enclosure 3, <u>DoD Instructions</u> <u>3200.12</u>. *Do not duplicate the Data and Research Resources Sharing Plan*. Refer to General Application Instructions, Section IV.B, Attachments Form, Attachment: Supporting Documentation, for detailed information regarding Data Management Plan content.
- Inclusion Enrollment Plan (only required if clinical research is proposed):
   Provide an anticipated enrollment table(s) for the inclusion of women and minorities
   using the Public Health Service Inclusion Enrollment Report, a three-page fillable
   PDF form, that can be downloaded from eBRAP at

   <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>. The enrollment table(s) should be
   appropriate to the objectives of the study with the proposed enrollment distributed on
   the basis of sex/gender, race, and ethnicity. Studies utilizing human biospecimens or
   datasets that cannot be linked to a specific individual, gender, ethnicity, or race
   (typically classified as exempt from Institutional Review Board [IRB] review) are
   exempt from this requirement.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf". The technical abstract is used by all reviewers. *Abstracts of all funded research projects will be posted publicly*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Technical abstracts should be written using the outline below. Clarity and completeness within the space limits are highly important.

- **Background:** Describe the scientific objective and rationale for the proposed project and how the project will help fulfill the ARP's vision and mission.
- **Objective/Hypothesis:** State the objective to be reached/hypothesis to be tested. Describe the overall research goals.
- **Specific Aims:** State the specific aims of the study.
- **Study Design:** Briefly describe the study design and methodology, including a brief description of the model system(s) that will be utilized.
- Innovation: Briefly describe how the proposed project is innovative.
- **Impact:** Briefly describe how the proposed project will have an impact on the ASD community.

• Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf". The lay abstract is used by all reviewers, and addresses issues of particular interest to the affected community. *Abstracts of all funded research projects will be posted publicly.* Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed. *Do not duplicate the technical abstract.* 

Lay abstracts should address the points outlined below *in a manner that will be readily understood by readers without a background in science or medicine*. Avoid overuse use of scientific jargon, acronyms, and abbreviations.

- In laypersons' terms, describe the ultimate reason for the research. Explain how the research introduces a novel concept, idea, or paradigm. Explain how research into a novel concept, idea, or paradigm will lead to new avenues of discovery or development to improve the lives of individuals living with autism.
- What types of patients will the research help and how will it help them? What are the potential clinical applications, benefits, and risks? If the research is too basic for near-term clinical applicability, describe the long-term impact on patient outcomes. Describe the likely contributions of this study to advancing the field of autism research and/or patient care.
- Attachment 5: Statement of Work (SOW) (three-page limit): Upload as "SOW.pdf". Refer to the eBRAP "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/public/Program.htm</u>) for the suggested SOW format and recommended strategies for assembling the SOW.

For the Discovery Award, refer to "Assembling a Generic Statement of Work", for guidance on preparing the SOW. Use the "Suggested SOW Format" to develop the SOW for the proposed research. Submit as a PDF.

- Attachment 6: Innovation Statement (one-page limit): Upload as "Innovation.pdf". Describe how the novel idea is beyond an incremental advancement and will have a major shift in the scientific understanding of autism. Explain how the project is innovative, or how it will lead to a new paradigm, challenge current paradigms, introduce novel concepts or agents, or exhibit other uniquely creative qualities. Describe how the proposed project studies a new avenue of research for the laboratory.
- Attachment 7: Impact Statement (one-page limit): Upload as "Impact.pdf". Describe how the proposed research will help fulfill the ARP's vision and mission. Detail the anticipated outcome(s) that will be directly attributed to the results of the proposed research (near-term impact). Explain the anticipated long-term impact from the proposed research project, including how this work may ultimately benefit the ASD community. *The Impact Statement should be written in plain language for laypersons.*
- Attachment 8: Representations (*Extramural Submissions Only*): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (<u>https://ebrap.org/eBRAP/</u>)

<u>public/Program.htm</u>). For more information, see the General Application Instructions, Appendix 8, Section B, Representations.

- Attachment 9: Suggested Intragovernmental/Intramural Budget Form (*if applicable*): Upload as "IGBudget.pdf". If an intramural DOD organization will be a collaborator in performance of the project, complete a separate budget using the "Suggested Intragovernmental/Intramural Budget Form", available for download on the eBRAP "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/public/Program.htm</u>). The budget should cover the entire period of performance for each intramural DOD site and include a budget justification as instructed. The *total* costs per year for each subaward (direct and indirect costs) should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section V.A.(e), for additional information and considerations.
- (c) Research & Related Personal Data: For extramural submissions, refer to the General Application Instructions, Section IV.B.(c), and for intramural submissions, refer to the General Application Instructions, Section V.A.(c), for detailed instructions.
- (d) Research & Related Senior/Key Person Profile (Expanded): For extramural submissions, refer to the General Application Instructions, Section IV.B.(d), and for intramural submissions, refer to the General Application Instructions, Section V.A.(d), for detailed instructions.
  - PI Biographical Sketch (five-page limit): Upload as "Biosketch\_LastName.pdf".
  - **PI Previous/Current/Pending Support (no page limit):** Upload as "Support\_LastName.pdf".
  - **Key Personnel Biographical Sketches (5-page limit each):** Upload as "Biosketch\_LastName.pdf".
  - **Key Personnel Previous/Current/Pending Support (no page limit):** Upload as "Support\_LastName.pdf".
- (e) Research & Related Budget: For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), and for intramural submissions, refer to the General Application Instructions, Section V.A.(e), for detailed instructions.
  - Budget Justification (no page limit): For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), Section L, for instructions. For intramural submissions, refer to General Application Instructions, Section V.A.(e) Budget Justification Instructions.
- (f) Project/Performance Site Location(s) Form: For extramural submissions, refer to the General Application Instructions, Section IV.B.(f), and for intramural submissions, refer to the General Application Instructions, Section V.A.(f), for detailed instructions.

- (g) Research & Related Subaward Budget Attachment(s) Form *(if applicable, Extramural Submissions Only)*: Refer to the General Application Instructions, Section IV.B.(g), for detailed instructions.
  - **Extramural Subaward:** Complete the Research & Related Subaward Budget Form and upload through Grants.gov.
  - Intramural DOD Subaward: Complete a separate "<u>Suggested</u> <u>Intragovernmental/Intramural Budget Form</u>" for each intramural DOD subaward and upload as a single document titled **IGBudget.pdf** to Grants.gov as Attachment 9.

#### II.D.2.c. Applicant Verification of Full Application Submission in eBRAP

Independent of submission type, once the full application is submitted it is transmitted to and processed in eBRAP. At this stage, the PI and organizational representatives will receive an email from eBRAP instructing them to log into eBRAP to review, modify, and verify the full application submission. Verification is strongly recommended but not required. eBRAP will validate full application files against the specific program announcement requirements, and discrepancies will be noted in the "Full Application Files" tab in eBRAP. However, eBRAP does not confirm the accuracy of file content. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the program announcement. *The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline. If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the full application submission deadline.* Other application components, including subaward budget(s) and subaward budget justification(s), may be changed until the end of the application period. The full application cannot be modified once the application verification period ends.

#### II.D.3. Unique Entity Identifier (UEI) and System for Award Management (SAM)

The applicant organization must be registered as an entity in SAM (<u>https://www.sam.gov/content/home</u>) and receive confirmation of an "Active" status before submitting an application through Grants.gov. Organizations must include the UEI generated by SAM in applications to this funding opportunity.

#### **II.D.4.** Submission Dates and Times

The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

All submission dates and times are indicated in Section I, Overview of the Funding Opportunity.

#### **II.D.5.** Funding Restrictions

The maximum period of performance is 2 years.

The application's direct costs budgeted for the entire period of performance should not exceed **\$200,000**. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. Collaborating organizations should budget associated indirect costs in accordance with each organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 2 years.

For this award mechanism, direct costs may be requested for (not all-inclusive):

- Travel in support of multi-institutional collaborations.
- Costs for one investigator to travel to one scientific/technical meeting per year. The intent of travel to scientific/technical meetings should be to present project information or disseminate project results from the ARP Discovery Award.

Must not be requested for:

- Costs for travel to scientific/technical meeting(s) beyond the limits stated above
- Clinical trial costs
- Equipment
- Tuition

#### **II.D.6.** Other Submission Requirements

Refer to the General Application Instructions, Appendix 2, for detailed formatting guidelines.

# **II.E.** Application Review Information

#### II.E.1. Criteria

#### II.E.1.a. Peer Review

To determine technical merit, all applications will be individually evaluated according to the following **scored criteria**, which are of equal importance:

#### • Research Strategy and Feasibility:

- How well the scientific rationale supports the proposed research project and its feasibility.
- To what degree the hypothesis proposed is clearly defined and testable.

- Whether the experimental design, methods, and analyses, including appropriate controls, support the objectives of the specific aims.
- If preliminary data are presented, whether they support the hypothesis or objectives.
- To what degree the proposed research demonstrates the potential to generate robust preliminary data that can be used as a foundation for future research projects.
- To what degree the statistical plan, including appropriate power analysis, supports the experimental methodology, if applicable.
- To what extent the application addresses potential problems and/or pitfalls, and offers alternative approaches.
- Whether the applicant demonstrates the availability of tissue, data, or human subjects, if applicable.
- If applicable, to what extent the human subject population is described as being appropriate for the study and if there is clear access to the designated population.
- If animal studies are included, how well they are designed in accordance with the ARRIVE 2.0 guidelines (<u>https://arriveguidelines.org/arrive-guidelines</u>) to achieve reproducible and rigorous results, including the choice of model and the endpoints/outcomes to be measured.
- If applicable, whether the strategies for the inclusion of women and minorities are appropriate to the objectives of the study, including a description of the composition of the proposed study population in terms of sex/gender, racial, and ethnic group, and an accompanying rationale for the selection of subjects. If women and minorities are excluded, to what extent the application provides a rational justification.

#### • Innovation

- To what extent the novel idea is beyond an incremental advancement and will lead to major shift in the scientific understanding of autism.
- Whether the project is innovative and whether it will lead to a new paradigm, challenge current paradigms, introduce novel concepts or agents, or exhibit other uniquely creative qualities.
- To what degree the proposed research represents a new avenue of research for the laboratory.

#### • Impact

• To what degree the proposed research will help fulfill the ARP's vision and mission.

- The extent to which the anticipated outcomes will be used as the foundation for future research projects (near-term impact).
- To what degree the anticipated long-term impact of the study will benefit the ASD community.

In addition, the following criteria will also contribute to the overall evaluation of the application, but will not be individually scored and are therefore termed **unscored criteria**:

#### • Personnel

• How appropriate the levels of effort are for successful conduct of the proposed work.

#### • Budget

• Whether the budget is appropriate for the proposed research.

## • Environment

- To what extent the scientific environment is appropriate for the proposed research project.
- How well the research requirements are supported by the availability of and accessibility to facilities and resources.
- To what extent the quality and level of institutional support are appropriate for the proposed research project.

#### • Application Presentation

• To what extent the writing, clarity, and presentation of the application components influence the review.

#### II.E.1.b. Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the priorities of the Defense Health Program and FY24 ARP, as evidenced by the following:
  - Adherence to the intent of the award mechanism
  - Program portfolio balance
  - Programmatic relevance

• Relative impact and innovation

#### **II.E.2.** Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for programmatic relevance. Final recommendations for funding are made to the Commanding General, USAMRDC. *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in <u>Section II.E.1.b, Programmatic Review</u>. Additional information about the two-tier process used by the CDMRP can be found at <a href="https://cdmrp.health.mil/about/2tierRevProcess">https://cdmrp.health.mil/about/2tierRevProcess</a>.* 

All CDMRP review processes are conducted confidentially to maintain the integrity of the meritbased selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the review panel. Violations of confidentiality can result in the dissolution of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to a third party is a crime in accordance with 18 USC 1905.

#### **II.E.3. Integrity and Performance Information**

Prior to making an assistance agreement award where the federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.1, over the period of performance, the federal awarding agency is required to review and consider any information about the applicant that is available in SAM.

An applicant organization may review SAM and submit comments on any information currently available about the organization that a federal awarding agency previously entered. The federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

# **II.F. Federal Award Administration Information**

#### **II.F.1. Federal Award Notices**

Each applicant organization and PI will receive email notification when the funding recommendations are posted to eBRAP. At this time, each PI will receive a peer review summary statement on the strengths and weaknesses of the application and an information paper describing the funding recommendation and review process for the ARP award mechanisms. The information papers and a list of organizations and PIs recommended for funding are also posted on the program's page within the CDMRP website.

If an application is recommended for funding, after the email notification is posted to eBRAP, a government representative will contact the person authorized to negotiate on behalf of the recipient organization.

Only an appointed USAMRAA Grants Officer may obligate the government to the expenditure of funds to an extramural organization. No commitment on the part of the government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document (i.e., assistance agreement).

#### Intra-DOD obligations of funding will be made according to the terms of a negotiated Inter-Agency Agreement and managed by a CDMRP Science Officer.

Funding obligated to *intragovernmental and intramural DOD organizations* will be sent through the Military Interdepartmental Purchase Request (MIPR), Funding Authorization Document (FAD), or Direct Charge Work Breakdown Structure processes. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intragovernmental and intramural DOD investigators and collaborators must coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

An organization may, at its own risk and without the government's prior approval, incur obligations and expenditures to cover costs up to 90 days before the beginning date of the initial budget period of a new award. Refer to the General Application Instructions, Section IV.B.(e), for additional information about pre-award costs.

# If there are technical reporting requirement delinquencies for any existing CDMRP awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

#### **II.F.2. PI Changes and Award Transfers**

Unless otherwise restricted, changes in PI or organization will be allowed on a case-by-case basis, provided the intent of the award mechanism is met.

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 7, Section F, for general information on organization or PI changes.

## **II.F.3.** Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this program announcement.

Refer to the General Application Instructions, Appendix 7, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 8, for general information regarding national policy requirements.

Refer to full text of the latest <u>DoD R&D Terms and Conditions</u> and the <u>USAMRAA Research</u> <u>Terms and Conditions</u>: <u>Addendum to the DoD R&D Terms and Conditions</u> for further information.

Applications recommended for funding that involve animals, human data, human specimens, human subjects, or human cadavers must be reviewed for compliance with federal and DOD animal and/or human subjects protection requirements and approved by the USAMRDC Office of Human and Animal Research Oversight, prior to implementation. This administrative review requirement is in addition to the local Institutional Animal Care and Use Committee, IRB, or Ethics Committee review. Refer to the General Application Instructions, Appendix 6, for additional information.

#### **II.F.4.** Reporting

Annual technical progress reports as well as a final technical progress report will be required. Annual and final technical reports must be prepared in accordance with the Research Performance Progress Report (RPPR).

The Award Terms and Conditions will specify whether additional and/or more frequent reporting is required.

Awards resulting from this program announcement may entail additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10M are required to provide information to SAM about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a federal award. These recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 8, Section B).

# **II.G. Federal Awarding Agency Contacts**

#### II.G.1. eBRAP Help Desk

Questions regarding program announcement content or submission requirements as well as technical assistance related to pre-application or intramural application submission

Phone: 301-682-5507

Email: <u>help@eBRAP.org</u>

#### II.G.2. Grants.gov Contact Center

Questions regarding Grants.gov registration and Workspace

Phone: 800-518-4726; International 1-606-545-5035

Email: <u>support@grants.gov</u>

## **II.H. Other Information**

#### **II.H.1. Program Announcement and General Application Instructions Versions**

Questions related to this program announcement should refer to the program name, the program announcement name, and the program announcement version code 901a. The program announcement numeric version code will match the General Application Instructions version code 901.

#### **II.H.2.** Administrative Actions

After receipt of full applications, the following administrative actions may occur:

#### II.H.2.a. Rejection

The following will result in administrative rejection of the full application:

- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.
- Pre-application was not submitted.

#### **II.H.2.b.** Modification

• Pages exceeding the specific limits will be removed prior to review for all documents other than the Project Narrative.

• Documents not requested will be removed.

#### II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the full application:

- An FY24 ARP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation, including letters of support/recommendation. *A list of the FY24 ARP Programmatic Panel members can be found at* <u>https://cdmrp.health.mil/arp/panels/panels24</u>.
- The application fails to conform to this program announcement description.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Applications that include names of personnel from either of the CDMRP peer or programmatic review companies. For FY24, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (https://cdmrp.health.mil/about/2tierRevProcess).
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DOD federal agencies, received through eBRAP.
- Applications submitted by a federal government organization (including an intramural DOD organization) may be withdrawn if (a) the organization cannot accept and execute the entirety of the requested budget in current fiscal year (FY24) funds and/or (b) the federal government organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to collaborators.
- Application includes research data that are classified and/or proposes research that may produce classified outcomes, or outcomes deemed sensitive to national security concerns.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The PI does not meet the eligibility criteria.
- A clinical trial is proposed.

#### II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

# II.H.3. Full Application Submission Checklist

Full Application Components	Uploaded
<b>SF424 Research &amp; Related Application for Federal Assistance</b> (Extramural submissions only)	
Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)	
Attachments	
Project Narrative – Attachment 1, upload as "ProjectNarrative.pdf"	
Supporting Documentation – Attachment 2, upload as "Support.pdf"	
Technical Abstract – Attachment 3, upload as "TechAbs.pdf"	
Lay Abstract – Attachment 4, upload as "LayAbs.pdf"	
Statement of Work – Attachment 5, upload as "SOW.pdf"	
Innovation Statement – Attachment 6, upload as "Innovation.pdf"	
Impact Statement – Attachment 7, upload as "Impact.pdf"	
Representations ( <i>Extramural submissions only</i> ) – Attachment 8, upload as "RequiredReps.pdf"	
Suggested Intragovernmental/Intramural Budget Form <i>(if applicable)</i> – Attachment 9, upload as "IGBudget.pdf"	
Research & Related Personal Data	
Research & Related Senior/Key Person Profile (Expanded)	
Attach PI Biographical Sketch (Biosketch_LastName.pdf)	
Attach PI Previous/Current/Pending Support (Support_LastName.pdf)	
Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person	
Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person	
Research & Related Budget (Extramural submissions only) Include budget justification	
Budget (Intramural submissions only) Include budget justification	
Project/Performance Site Location(s) Form	
Research & Related Subaward Budget Attachment(s) Form (if applicable)	

# **APPENDIX 1: ACRONYM LIST**

ASDAutism Spectrum DisordersCDMRPCongressionally Directed Medical Research ProgramsCFRCode of Federal RegulationsDADiscovery AwardDODDepartment of DefenseDoDGARsDepartment of Defense Grant and Agreement RegulationseBRAPElectronic Biomedical Research Application Portal
CFRCode of Federal RegulationsDADiscovery AwardDODDepartment of DefenseDoDGARsDepartment of Defense Grant and Agreement RegulationseBRAPElectronic Biomedical Research Application Portal
DADiscovery AwardDODDepartment of DefenseDoDGARsDepartment of Defense Grant and Agreement RegulationseBRAPElectronic Biomedical Research Application Portal
DODDepartment of DefenseDoDGARsDepartment of Defense Grant and Agreement RegulationseBRAPElectronic Biomedical Research Application Portal
DoDGARsDepartment of Defense Grant and Agreement RegulationseBRAPElectronic Biomedical Research Application Portal
eBRAP Electronic Biomedical Research Application Portal
11
ET Eastern Time
FAD Funding Authorization Document
FY Fiscal Year
IRB Institutional Review Board
M Million
MIPR Military Interdepartmental Purchase Request
PDF Portable Document Format
PI Principal Investigator
RPPR         Research Performance Progress Report
SAM System for Award Management
SOW Statement of Work
STEM Science, Technology, Engineering, and/or Mathematics
UEI Unique Entity Identifier
URL Uniform Resource Locator
USAMRAA U.S. Army Medical Research Acquisition Activity
USAMRDC U.S. Army Medical Research and Development Command
USC United States Code